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Bib Data Sheet

|  |   |                                |   |  |
|--|---|--------------------------------|---|--|
| <b>SERIAL NUMBER</b><br>09/685,398   | <b>FILING DATE</b><br>10/10/2000<br><b>RULE</b> -   | <b>CLASS</b><br>705            | <b>GROUP ART UNIT</b><br>2164   | <b>ATTORNEY DOCKET NO.</b><br>RSW9-2000-0107-US1 |
| <b>APPLICANTS</b><br>James Richard Kraemer, Dallas, TX ;<br><b>** CONTINUING DATA *****</b> non/BA<br><b>** FOREIGN APPLICATIONS *****</b> non/BA  |   |                                |   |  |
| <b>IF REQUIRED, FOREIGN FILING LICENSE</b><br><b>GRANTED ** 12/01/2000</b> -   |   |                                |   |  |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no<br>35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no Met after Allowance <input checked="" type="checkbox"/> Met after Initials |   | <b>STATE OR COUNTRY</b><br>TX  | <b>SHEETS DRAWING</b><br>3  | <b>TOTAL CLAIMS</b><br>31                        |
| Verified and Acknowledged<br>Examiner's Signature <i>[Signature]</i> Initials  |   | <b>INDEPENDENT CLAIMS</b><br>3 |   |  |
| <b>ADDRESS</b><br>Esther H. Chong, Esquire<br>Synnestvedt & Lechner LLP<br>2600 Aramark Tower<br>1101 Market Street<br>Philadelphia, PA 19107-2950   |   |                                |   |  |
| <b>TITLE</b><br>System and method for automatically rebalancing portfolios by single response  |   |                                |   |  |
| <b>FILING FEE RECEIVED</b><br>908  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                                | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |  |